

REDACTED - FOR PUBLIC INSPECTION

(710) Broadband Price Offerings Data Collection Form		FCC Form 481 OMB Control No. 3090-0086/OMB Control No. 3090-0010 July 2013
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<010> Study Area Code	320818
<015> Study Area Name	ANTHONYVILLE TBL CO
<020> Program Year	2016
<030> Contact Name - Person USAC should contact regarding this data	Stephanie Wall
<035> Contact Telephone Number - Number of person identified in data line <030>	5129152215 ext.
<039> Contact Email Address - Email Address of person identified in data line <030>	stephanie.wall@anthonyville.net

<711>								
<a1>		<a2>	<b1>	<b2>	<c>	<d1>	<d2>	<d3>
State	Exchange (ILEC)	Residential Rate	State Regulated Fees	Total Rates and Fees	Broadband Service - Download Speed (Mbps)	Broadband Service - Upload Speed (Mbps)	Usage Allowance (GB)	Usage Allowance Action Taken When Limit Reached (select)
TN	688/Lyons	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	689/Lyons	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	842/Owensboro	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	843/Owensboro	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	962/Roseville	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	823/Smythville	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	824/Smythville	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	874/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	875/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	876/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	877/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	878/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	879/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	880/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	881/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	882/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	883/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	884/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	885/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	886/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	887/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	888/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	889/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	890/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	891/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	892/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	893/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	894/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	895/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	896/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	897/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	898/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	899/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	900/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	901/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	902/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	903/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	904/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	905/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	906/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	907/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	908/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	909/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	910/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	911/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	912/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	913/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	914/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	915/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	916/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	917/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	918/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	919/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	920/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	921/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	922/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	923/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	924/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage
TN	925/Stanford	0.0	0.0	0.0	3.0	0.5	0.0	Other, Unlimited Usage

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(710) Broadband Price Offerings Data Collection Form		FCC Form 485 OMB Control No. 3050-0086/OMB Control No. 3050-0075 July 2013
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<010>	Study Area Code	320818
<015>	Study Area Name	SMITHVILLE TEL CO
<020>	Program Year	2010
<030>	Contact Name - Person USAC should contact regarding this data	Stephanie Wall
<035>	Contact Telephone Number - Number of person identified in data line <030>	5129262215 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	stephanie.wall@smithville.net

<711>	<01>	<02>	<03>	<04>	<05>	<06>	<07>	<08>	<09>
State	Exchange (ILEC)	Residential Rate	State Regulated Fees	Total Rates and Fees	Broadband Service - Download Speed (Mbps)	Broadband Service - Upload Speed (Mbps)	Usage Allowance (GB)	Usage Allowance - Action Taken When Limit Reached (select)	
IL	824/Stanford	0.0	0.0	0.0	10.0	4.0	0.0	Other, Unlimited Usage	
IN	876/Ellettsville	0.0	0.0	0.0	10.0	4.0	0.0	Other, Unlimited Usage	
IN	876/Ellettsville	0.0	0.0	0.0	10.0	4.0	0.0	Other, Unlimited Usage	
IN	916/French Lick	0.0	0.0	0.0	10.0	4.0	0.0	Other, Unlimited Usage	
IN	916/French Lick	0.0	0.0	0.0	10.0	4.0	0.0	Other, Unlimited Usage	
IN	916/French Lick	0.0	0.0	0.0	10.0	4.0	0.0	Other, Unlimited Usage	
IN	879/Goosport	0.0	0.0	0.0	10.0	4.0	0.0	Other, Unlimited Usage	
IN	851/Griffin	0.0	0.0	0.0	10.0	4.0	0.0	Other, Unlimited Usage	
IN	912/Hymers	0.0	0.0	0.0	10.0	4.0	0.0	Other, Unlimited Usage	
IN	994/Liston	0.0	0.0	0.0	10.0	4.0	0.0	Other, Unlimited Usage	
IN	942/Sherpaville	0.0	0.0	0.0	10.0	4.0	0.0	Other, Unlimited Usage	
IN	811/Southville	0.0	0.0	0.0	10.0	4.0	0.0	Other, Unlimited Usage	
IN	824/Southville	0.0	0.0	0.0	10.0	4.0	0.0	Other, Unlimited Usage	
IN	825/Stanford	0.0	0.0	0.0	10.0	4.0	0.0	Other, Unlimited Usage	
IN	826/Stanford	0.0	0.0	0.0	10.0	4.0	0.0	Other, Unlimited Usage	
IN	876/Ellettsville	0.0	0.0	0.0	10.0	10.0	0.0	Other, Unlimited Usage	
IN	916/Ellettsville	0.0	0.0	0.0	10.0	10.0	0.0	Other, Unlimited Usage	
IN	916/French Lick	0.0	0.0	0.0	10.0	10.0	0.0	Other, Unlimited Usage	
IN	916/French Lick	0.0	0.0	0.0	10.0	10.0	0.0	Other, Unlimited Usage	
IN	879/Goosport	0.0	0.0	0.0	10.0	10.0	0.0	Other, Unlimited Usage	
IN	851/Griffin	0.0	0.0	0.0	10.0	10.0	0.0	Other, Unlimited Usage	
IN	912/Hymers	0.0	0.0	0.0	10.0	10.0	0.0	Other, Unlimited Usage	

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(720) Broadband Price Offerings Data Collection Form		FCC Form 481 OMB Control No. 3040-0046/OMB Control No. 3040-0033 July 2013
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<010>	Study Area Code	320819
<015>	Study Area Name	SMITHVILLE TEL CO
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Stephanie Hall
<035>	Contact Telephone Number - Number of person identified in data line <030>	8129362215 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	stephanie.hall@smithville.net

<711>	<040>	<042>	<043>	<044>	<045>	<046>	<047>	<048>	<049>
State	Exchange (LEC)	Residential Rate	State Regulated Fees	Total Rates and Fees	Broadband Service - Download Speed (Mbps)	Broadband Service - Upload Speed (Mbps)	Usage Allowance (GB)	Usage Allowance Action Taken When Limit Reached (select)	
IN	994/Lisbon	0.0	0.0	0.0	30.0	20.0	0.0	Other, Unlimited Usage	
IN	943/Sharpsville	0.0	0.0	0.0	20.0	20.0	0.0	Other, Unlimited Usage	
IN	823/Smithville	0.0	0.0	0.0	20.0	20.0	0.0	Other, Unlimited Usage	
IN	824/Smithville	0.0	0.0	0.0	20.0	20.0	0.0	Other, Unlimited Usage	
IN	825/Stanford	0.0	0.0	0.0	20.0	20.0	0.0	Other, Unlimited Usage	
IN	826/Stanford	0.0	0.0	0.0	20.0	20.0	0.0	Other, Unlimited Usage	
IN	815/Billettsville	0.0	0.0	0.0	50.0	25.0	0.0	Other, Unlimited Usage	
IN	816/French Lick	0.0	0.0	0.0	50.0	25.0	0.0	Other, Unlimited Usage	
IN	818/French Lick	0.0	0.0	0.0	50.0	25.0	0.0	Other, Unlimited Usage	
IN	870/Oasport	0.0	0.0	0.0	50.0	25.0	0.0	Other, Unlimited Usage	
IN	851/Crittin	0.0	0.0	0.0	50.0	25.0	0.0	Other, Unlimited Usage	
IN	387/Hymera	0.0	0.0	0.0	50.0	25.0	0.0	Other, Unlimited Usage	
IN	294/Lisbon	0.0	0.0	0.0	50.0	25.0	0.0	Other, Unlimited Usage	
IN	943/Sharpsville	0.0	0.0	0.0	50.0	25.0	0.0	Other, Unlimited Usage	
IN	823/Smithville	0.0	0.0	0.0	50.0	25.0	0.0	Other, Unlimited Usage	
IN	824/Smithville	0.0	0.0	0.0	50.0	25.0	0.0	Other, Unlimited Usage	
IN	825/Stanford	0.0	0.0	0.0	50.0	25.0	0.0	Other, Unlimited Usage	
IN	826/Stanford	0.0	0.0	0.0	50.0	25.0	0.0	Other, Unlimited Usage	
IN	876/Billettsville	0.0	0.0	0.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	936/Billettsville	0.0	0.0	0.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	916/French Lick	0.0	0.0	0.0	100.0	50.0	0.0	Other, Unlimited Usage	

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(710) Broadband Price Offerings Data Collection Form		FCC Form 481 OMB Control No. 3060-0046/OMB Control No. 3060-0019 July 2013
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<010>	Study Area Code	220818
<015>	Study Area Name	SMITHVILLE TEL CO
<020>	Program Year	2010
<030>	Contact Name - Person USAC should contact regarding this data	Stephanie Wall
<035>	Contact Telephone Number - Number of person identified in data line <030>	8123632215 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	stephanie.wall@smithville.net

<711>	<010>	<015>	<020>	<030>	<035>	<040>	<045>	<050>	<055>
State	Exchange (LEC)	Residential Rate	State Regulated Fees	Total Rates and Fees	Broadband Service - Download Speed (Mbps)	Broadband Service - Upload Speed (Mbps)	Usage Allowance (GB)	Usage Allowance Action Taken When Limit Reached (select)	
IN	938/French Lick	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	879/Goosport	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	861/Griffin	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	383/Hymera	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	994/Linton	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	963/Sharpsville	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	923/Smithville	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	974/Smithville	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	475/Stanford	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	814/Stanford	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	476/Smithville	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	914/Smithville	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	936/French Lick	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	938/French Lick	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	879/Goosport	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	861/Griffin	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	383/Hymera	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	994/Linton	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	963/Sharpsville	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	923/Smithville	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	
IN	974/Smithville	0.0	0.0	100.0	100.0	50.0	0.0	Other, Unlimited Usage	

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(710) Broadband Price Offerings
Data Collection Form

<010>	Study Area Code	220418
<015>	Study Area Name	KHITHVILLES TBL CO
<020>	Program Year	2016
<030>	Contact Name - Person USAC should contact regarding this data	Stephanie Hall
<035>	Contact Telephone Number - Number of person identified in data line <030>	8129352315 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	stephanie.williams@hills.mil

[illegible]

(800) Operating Companies
Data Collection Form

FCC Form 481

OMB Control No. 3060-0986/OMB Control No. 3060-0819

July 2013

<010>	Study Area Code	320818
<015>	Study Area Name	SMITHVILLE TEL CO
<020>	Program Year	2015
<030>	Contact Name - Person USAC should contact regarding this data	Stephanie Wall
<035>	Contact Telephone Number - Number of person identified in data line <030>	8129352215 ext.
<039>	Contact Email Address - Email Address of person identified in data line <030>	stephanie.wall@smithville.net

<810>	Reporting Carrier	Smithville Communications Inc.
<811>	Holding Company	Smithville Holding Inc.
<812>	Operating Company	Smithville Communications Inc

[illegible]

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Company: Smithville Communications
Study Area Code: 320818

Description of network and service area:

General location of service: Southern Indiana
 Number of Exchanges: [REDACTED]
 Total Access Lines: [REDACTED] @ 12/31/2013
 Total Area Served (sq miles): [REDACTED]
 Services offered:

Voice	X
Data less than 4/1 Mbps	X
Data in excess of 4/1 Mbps	X
Video	X
Long Distance	X
Extended Area Service	X

[REDACTED] availability
 [REDACTED] availability

Switch type, location and interface type (IP/GR303/TR57):

[REDACTED]

Access Equipment for broadband, TDM and IP transport (IXC & uplink):

[REDACTED]

Outside Plant description (type and approximate age):

[REDACTED]

Exchanges:	Access Lines	Sq Miles
Ellettsville	[REDACTED]	175.3
French Lick	[REDACTED]	166.9
Gosport	[REDACTED]	50.5
Griffin	[REDACTED]	69.0
Hymera	[REDACTED]	23.8
Lake Monroe	[REDACTED]	82.3
Lizton	[REDACTED]	23.4
Lyons	[REDACTED]	139.9
Owensburg	[REDACTED]	76.4
Sharpsville	[REDACTED]	63.8
Smithville	[REDACTED]	79.2
Stanford	[REDACTED]	114.4

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Improvements in service quality, coverage, or capacity that were funded by support.

Plant Categories to be updated in five-year plan (include additions & retirements):

1. Switching Equipment

2. Transmission Equipment

<i>Exchanges</i>		2015		2016		2017		2018		2019
Ellettsville	\$		\$		\$		\$		\$	
French Lick	\$		\$		\$	-	\$	-	\$	-
Gosport	\$		\$		\$		\$	-	\$	-
Griffin	\$	-	\$	-	\$	-	\$	-	\$	-
Hymera	\$	-	\$	-	\$	-	\$	-	\$	-
Lake Monroe	\$		\$		\$		\$		\$	-
Lizton	\$	-	\$	-	\$	-	\$	-	\$	-
Lyons	\$		\$		\$	-	\$	-	\$	-
Qwensburg	\$		\$		\$		\$	-	\$	-
Sharpsville	\$	-	\$		\$	-	\$	-	\$	-
Smithville	\$	-	\$		\$		\$	-	\$	-
Stanford	\$		\$		\$		\$		\$	
System-wide	\$		\$		\$		\$		\$	

3. Cable & Wire Facility

<i>Exchanges</i>		2015		2016		2017		2018		2019
Ellettsville	\$		\$		\$		\$		\$	
French Lick	\$		\$		\$	-	\$	-	\$	-
Gosport	\$		\$		\$		\$	-	\$	-
Griffin	\$	-	\$	-	\$	-	\$	-	\$	-
Hymera	\$		\$	-	\$	-	\$	-	\$	-
Lake Monroe	\$		\$		\$		\$		\$	-
Lizton	\$	-	\$	-	\$	-	\$	-	\$	-
Lyons	\$		\$		\$	-	\$	-	\$	-
Owensburg	\$		\$		\$		\$	-	\$	-
Sharpsville	\$	-	\$		\$	-	\$	-	\$	-
Smithville	\$	-	\$		\$		\$	-	\$	-
Stanford	\$		\$		\$		\$		\$	
System-wide	\$		\$		\$		\$		\$	

4. General Support Facility

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<i>Exchanges</i>		2015		2016		2017		2018		2019
System-wide	\$		\$		\$		\$		\$	

5. Operating Expense Projections

		2015		2016		2017		2018		2019
Plant Specific	\$		\$		\$		\$		\$	
Plant Nonspecific	\$		\$		\$		\$		\$	
Depreciation	\$		\$		\$		\$		\$	
Customer Operations	\$		\$		\$		\$		\$	
Corporate Operations	\$		\$		\$		\$		\$	
RUS Loan Interest Exp	\$		\$		\$		\$		\$	
	\$	-	\$	-	\$	-	\$	-	\$	-
	\$	-	\$	-	\$	-	\$	-	\$	-
	\$	-	\$	-	\$	-	\$	-	\$	-
	\$	-	\$	-	\$	-	\$	-	\$	-
	\$	-	\$	-	\$	-	\$	-	\$	-
	\$	-	\$	-	\$	-	\$	-	\$	-
System-wide	\$		\$		\$		\$		\$	

REDACTED - FOR PUBLIC INSPECTION

Annual Reporting for High-Cost Recipients
46 C.F.R. §54.313 (a)(2) through (a)(6) and (h)
Smithville Communications, Inc.

§54.131(a)(5)-COMPLIANCE WITH SERVICE QUALITY STANDARDS AND CONSUMER PROTECTION RULES

Service Quality Standards and Consumer Protection Rules Annual Certification

<u>Darby A. McCarty</u>	<u>President</u>	<u>Smithville Communications, Inc.</u>
Printed Name of Officer	Title of Officer	Company Name

I am authorized to provide this certification on behalf of the Company. I hereby certify that the Company is in compliance with applicable service quality standards and consumer protection rules.

Executed on 6-23-14
Date

Signature 

Printed/Typed Name Darby A. McCarty

FCC Form 481

Line 510 – Description of Compliance with Service Quality Standards and Consumer Protection

Smithville Communications Inc. maintains compliance with service quality standards through the use of a variety of tools, including:

1. daily, weekly, and monthly detailed service quality reports;
2. ongoing employee service quality training;
3. regular follow-up inspections of service installation and repairs;
4. proactive maintenance programs;
5. installation of the latest technologies, such as fiber and IP-based equipment;
6. extensive network monitoring equipment; and,
7. 24/7/365 service repair availability

Smithville Communications Inc. maintains compliance with consumer protection rules through the use of a variety of tools, including:

1. ongoing employee training on consumer protection rules;
2. working with industry experts to identify and incorporate consumer protection best practices into our processes;
3. automated systems using the latest security measures to protect sensitive data;
4. monitoring of customer service calls with customers; and,
5. regular updates to senior management on consumer protection activities



REDACTED - FOR PUBLIC INSPECTION

Annual Reporting for High-Cost Recipients
47 C.F.R. §54.313(a)(2) through (a)(6) and (h)
Smithville Communications Inc.

§54.313(a)(6)-ABILITY TO FUNCTION IN EMERGENCY SITUATIONS

Ability to Function in Emergency Situations Annual Certification


<u>Darby A. McCarty</u>	<u>President</u>	<u>Smithville Communications, Inc.</u>
Printed Name of Officer	Title of Officer	Company Name

I am authorized to provide this certification on behalf of the Company. I hereby certify that the Company is capable of functioning in emergency situations. The Company has a reasonable amount of back-up power to ensure functionality without an external power source, is able to reroute traffic around damaged facilities, and is capable of managing traffic spikes resulting from emergency situations.

Executed on

6-23-14
Date

Signature



Printed/Typed Name

Darby A. McCarty

Ability to Function in Emergency Situations 47 C.F.R. §54.313(a)(6)

Smithville Telephone Company, Inc., dba: Smithville Communication ("Company") hereby certifies that it is able to function in emergency situations as set forth in the Code of Federal Regulations, Title 47, Part 54, Subpart C, §54.202(a)(2). The Company's network is designed to remain functional in emergency situations without an external power source, is able to reroute traffic around damaged facilities, and is capable of managing traffic spikes resulting from emergency situations as required by Section 54.202(a)(2). The Company can change call routing translations as needed to reroute traffic around damaged facilities. Changing call routing translations will also allow the Company to manage traffic spikes throughout its network, as emergency situations require.

Each central office building is supplied with standby generators and battery reserve that enable it to keep running until power is restored so long as fuel is available, or until system changes are made to reroute traffic. The Company has battery backup at all office locations and in its electronic equipment sites and has a maintenance program in place. For remotes and cabinets without generators, a plan is in place to recharge the battery plants with portable generators.

In the event of a fiber cut or equipment failure, the voice and data network is designed to provide automatic rerouting of traffic through the use of rings. The Company has two data centers that provide redundancy for critical servers. Multiple upstream connections provide survivability in the event of network or fiber disruptions outside the Company's network.



Critical core production data (billing, customer, and plant) on the Company's AS400 is saved nightly on tape and stored onsite in a fireproof (Fire King) file cabinet. This data is also copied nightly to an AS400 at the Company's disaster recovery location. The entire AS400 system is saved weekly and physically moved offsite to a fireproof file cabinet. All other network data is backed up to tape on a nightly, weekly and monthly basis. Backup tapes are rotated offsite to a fireproof file cabinet. In addition, there is a one-way replication from the production network to the Company's disaster recovery network daily.



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According to the Paperwork Reduction Act of 1995, an agency may not conduct or sponsor, and a person is not required to respond to, a collection of information unless it displays a valid OMB control number. The valid OMB control number for this information collection is 0572-0031. The time required to complete this information collection is estimated to average 4 hours per response, including the time for reviewing instructions, searching existing data sources, gathering and maintaining the data needed, and completing and reviewing the collection of information.

<p style="text-align: center;">USDA-RUS</p> <p style="text-align: center;">OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS</p>	<p><i>This data will be used by RUS to review your financial situation. Your response is required by 7 U.S.C. 901 et seq. and, subject to federal laws and regulations regarding confidential information, will be treated as confidential.</i></p> <p>BORROWER NAME</p> <p style="text-align: center;">Smithville Communications, Inc.</p> <p style="text-align: center;">(Prepared with Audited Data)</p>				
<p><i>INSTRUCTIONS-Submit report to RUS within 30 days after close of the period. For detailed instructions, see RUS Bulletin 1744-2. Report in whole dollars only.</i></p>	<table style="width: 100%;"> <tr> <td style="width: 50%;">PERIOD ENDING</td> <td style="width: 50%;">BORROWER DESIGNATION</td> </tr> <tr> <td>December, 2013</td> <td>IN0503</td> </tr> </table>	PERIOD ENDING	BORROWER DESIGNATION	December, 2013	IN0503
PERIOD ENDING	BORROWER DESIGNATION				
December, 2013	IN0503				
<p style="text-align: center;">CERTIFICATION</p> <p><i>We hereby certify that the entries in this report are in accordance with the accounts and other records of the system and reflect the status of the system to the best of our knowledge and belief.</i></p> <p>ALL INSURANCE REQUIRED BY 7 CFR PART 1788, CHAPTER XVII, RUS, WAS IN FORCE DURING THE REPORTING PERIOD AND RENEWALS HAVE BEEN OBTAINED FOR ALL POLICIES.</p> <p style="text-align: center;">DURING THE PERIOD COVERED BY THIS REPORT PURSUANT TO PART 1788 OF 7CFR CHAPTER XVII (Check one of the following)</p> <div style="display: flex; justify-content: space-between;"> <div style="width: 45%;"> <input checked="" type="checkbox"/> All of the obligations under the RUS loan documents have been fulfilled in all material respects. </div> <div style="width: 45%;"> <input type="checkbox"/> There has been a default in the fulfillment of the obligations under the RUS loan documents. Said default(s) is/are specifically described in the Telecom Operating Report </div> </div> <div style="display: flex; justify-content: space-between; margin-top: 10px;"> <div style="width: 45%;"> <p style="text-align: center;">Darby McCarty</p> </div> <div style="width: 45%;"> <p style="text-align: center;">4/29/2014</p> <p style="text-align: center;">DATE</p> </div> </div>					

PART A. BALANCE SHEET					
ASSETS	BALANCE PRIOR YEAR	BALANCE END OF PERIOD	LIABILITIES AND STOCKHOLDERS' EQUITY	BALANCE PRIOR YEAR	BALANCE END OF PERIOD
CURRENT ASSETS			CURRENT LIABILITIES		
1. Cash and Equivalents			25. Accounts Payable		
2. Cash-RUS Construction Fund			26. Notes Payable		
3. Affiliates:			27. Advance Billings and Payments		
a. Telecom, Accounts Receivable			28. Customer Deposits		
b. Other Accounts Receivable			28. Current Mat. L/T Debt		
c. Notes Receivable			30. Current Mat. L/T Debt-Rur. Dev.		
4. Non-Affiliates:			31. Current Mat.-Capital Leases		
a. Telecom, Accounts Receivable			32. Income Taxes Accrued		
b. Other Accounts Receivable			33. Other Taxes Accrued		
c. Notes Receivable			34. Other Current Liabilities		
5. Interest and Dividends Receivable			35. Total Current Liabilities (25 thru 34)		
6. Material-Regulated			LONG-TERM DEBT		
7. Material-Nonregulated			36. Funded Debt-RUS Notes		
8. Prepayments			37. Funded Debt-RTB Notes		
9. Other Current Assets			38. Funded Debt-FFB Notes		
10. Total Current Assets (1 Thru 9)			39. Funded Debt-Other		
NONCURRENT ASSETS			40. Funded Debt-Rural Develop. Loan		
11. Investment in Affiliated Companies			41. Premium (Discount) on L/T Debt		
a. Rural Development			42. Reacquired Debt		
b. Nonrural Development			43. Obligations Under Capital Lease		
12. Other Investments			44. Adv. From Affiliated Companies		
a. Rural Development			45. Other Long-Term Debt		
b. Nonrural Development			46. Total Long-Term Debt (36 thru 45)		
13. Nonregulated Investments			OTHER LIAB. & DEF. CREDITS		
14. Other Noncurrent Assets			47. Other Long-Term Liabilities		
15. Deferred Charges			48. Other Deferred Credits		
16. Jurisdictional Differences			49. Other Jurisdictional Differences		
17. Total Noncurrent Assets (11 thru 16)			50. Total Other Liabilities and Deferred Credits (47 thru 49)		
PLANT, PROPERTY, AND EQUIPMENT			EQUITY		
18. Telecom, Plant-in-Service			51. Cap. Stock Outstand. & Subscribed		
19. Property Held for Future Use			52. Additional Paid-in-Capital		
20. Plant Under Construction			53. Treasury Stock		
21. Plant Adj., Nonop. Plant & Goodwill			54. Membership and Cap. Certificates		
22. Less Accumulated Depreciation			55. Other Capital		
23. Net Plant (18 thru 21 less 22)			56. Patronage Capital Credits		
24. TOTAL ASSETS (10+17+23)			57. Retained Earnings or Margins		
			58. Total Equity (51 thru 57)		
			59. TOTAL LIABILITIES AND EQUITY (35+46+50+58)		189,708,000

Total Equity = 50.94% % of Total Assets

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USDA-RUS OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS		BORROWER DESIGNATION IN0503	
INSTRUCTIONS- See RUS Bulletin 1744-2		PERIOD ENDING December, 2013	
PART B. STATEMENTS OF INCOME AND RETAINED EARNINGS OR MARGINS			
ITEM	PRIOR YEAR	THIS YEAR	
1. Local Network Services Revenues			
2. Network Access Services Revenues			
3. Long Distance Network Services Revenues			
4. Carrier Billing and Collection Revenues			
5. Miscellaneous Revenues			
6. Uncollectible Revenues			
7. Net Operating Revenues (1 thru 5 less 6)			
8. Plant Specific Operations Expense			
9. Plant Nonspecific Operations Expense (Excluding Depreciation & Amortization)			
10. Depreciation Expense			
11. Amortization Expense			
12. Customer Operations Expense			
13. Corporate Operations Expense			
14. Total Operating Expenses (8 thru 13)			
15. Operating Income or Margins (7 less 14)			
16. Other Operating Income and Expenses			
17. State and Local Taxes			
18. Federal Income Taxes			
19. Other Taxes			
20. Total Operating Taxes (17+18+19)			
21. Net Operating Income or Margins (15+16-20)			
22. Interest on Funded Debt			
23. Interest Expense - Capital Leases			
24. Other Interest Expense			
25. Allowance for Funds Used During Construction			
26. Total Fixed Charges (22+23+24-25)			
27. Nonoperating Net Income			
28. Extraordinary Items			
29. Jurisdictional Differences			
30. Nonregulated Net Income			
31. Total Net Income or Margins (21+27+28+29+30-26)			
32. Total Taxes Based on Income			
33. Retained Earnings or Margins Beginning-of-Year			
34. Miscellaneous Credits Year-to-Date			
35. Dividends Declared (Common)			
36. Dividends Declared (Preferred)			
37. Other Debits Year-to-Date			
38. Transfers to Patronage Capital			
39. Retained Earnings or Margins End-of-Period [(31+33+34) - (35+36+37+38)]			
40. Patronage Capital Beginning-of-Year			
41. Transfers to Patronage Capital			
42. Patronage Capital Credits Retired			
43. Patronage Capital End-of-Year (40+41-42)			
44. Annual Debt Service Payments			
45. Cash Ratio [(14+20-10-11) / 7]			
46. Operating Accrual Ratio [(14+20+26) / 7]			
47. TIER [(31+26) / 26]			
48. DSCR [(31+26+10+11) / 44]			

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USDA-RUS OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS INSTRUCTIONS - See RUS Bulletin 1744-2						BORROWER DESIGNATION IN0503 PERIOD ENDED December, 2013	
Part C. SUBSCRIBER (ACCESS LINE), ROUTE MILE, & HIGH SPEED DATA INFORMATION							
EXCHANGE	1. RATES		2. SUBSCRIBERS (ACCESS LINES)			3. ROUTE MILES	
	B-1	R-1	BUSINESS	RESIDENTIAL	TOTAL	TOTAL (including fiber)	FIBER
	(a)	(b)	(a)	(b)	(c)	(a)	(b)
Ellettsville							
French Lick							
Gosport							
Griffin							
Hymers							
Lake Monroe							
Lizton							
Lyons							
Owensburg							
Sharpsville							
Smithville							
Stanford							
Mobile/Wireless					0		
Route Mileage Outside Exchange Area						0.00	0.00
Total							
No. Exchanges	12						

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USDA-RUS OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS INSTRUCTIONS - See RUS Bulletin 1744-2							BORROWER DESIGNATION IN0603 PERIOD ENDED December, 2013	
Part C. SUBSCRIBER (ACCESS LINE), ROUTE MILE, & HIGH SPEED DATA INFORMATION								
4. BROADBAND SERVICE								
Details on Least Expensive Broadband Service								
EXCHANGE	No. Access Lines with BB available (a)	No Of Broadband Subscribers (b)	Number Of Subscribers (c)	Advertised Download Rate (Kbps) (d)	Advertised Upload Rate (Kbps) (e)	Price Per Month (f)	Standalone/Pckg (f)	Type Of Technology (g)
Ellettsville				384	256		StandAlone	DSL
French Lick				384	256		StandAlone	DSL
Gosport				384	256		StandAlone	DSL
Griffin				384	256		StandAlone	DSL
Hyrera				384	256		StandAlone	DSL
Lake Monroe				384	256		StandAlone	DSL
Lizton				384	256		StandAlone	DSL
Lyons				384	256		StandAlone	DSL
Owensburg				384	256		StandAlone	DSL
Sharpsville				384	256		StandAlone	DSL
Smithville				384	256		StandAlone	DSL
Stanford				384	256		StandAlone	DSL
Total								

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USDA-RUS OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS			BORROWER DESIGNATION IN0503 PERIOD ENDING December, 2013								
INSTRUCTIONS- See RUS Bulletin 1744-2											
PART D. SYSTEM DATA											
1. No. Plant Employees	2. No. Other Employees	3. Square Miles Served	4. Access Lines per Square Mile	5. Subscribers per Route Mile							
PART E. TOLL DATA											
1. Study Area ID Code(s) a. 320813 b. 320818 c. _____ d. _____ e. _____ f. _____ g. _____ h. _____ i. _____ j. _____		2. Types of Toll Settlements (Check one) <table style="width:100%;"> <tr> <td style="width:40%;">Interstate:</td> <td style="width:20%;"><input type="checkbox"/> Average Schedule</td> <td style="width:40%;"><input checked="" type="checkbox"/> Cost Basis</td> </tr> <tr> <td>Intrastate:</td> <td><input type="checkbox"/> Average Schedule</td> <td><input checked="" type="checkbox"/> Cost Basis</td> </tr> </table>				Interstate:	<input type="checkbox"/> Average Schedule	<input checked="" type="checkbox"/> Cost Basis	Intrastate:	<input type="checkbox"/> Average Schedule	<input checked="" type="checkbox"/> Cost Basis
Interstate:	<input type="checkbox"/> Average Schedule	<input checked="" type="checkbox"/> Cost Basis									
Intrastate:	<input type="checkbox"/> Average Schedule	<input checked="" type="checkbox"/> Cost Basis									
PART F. FUNDS INVESTED IN PLANT DURING YEAR											
1. RUS, RTB, & FFB Loan Funds Expended											
2. Other Long-Term Loan Funds Expended											
3. Funds Expended Under RUS Interim Approval											
4. Other Short-Term Loan Funds Expended											
5. General Funds Expended (Other than Interim)											
6. Salvaged Materials											
7. Contribution in Aid to Construction											
8. Gross Additions to Telecom. Plant (1 thru 7)											
PART G. INVESTMENTS IN AFFILIATED COMPANIES											
INVESTMENTS (a)	CURRENT YEAR DATA		CUMULATIVE DATA								
	Investment This Year	Income/Loss This Year	Cumulative Investment To Date	Cumulative Income/Loss To Date	Current Balance						
	(b)	(c)	(d)	(e)	(f)						
1. Investment in Affiliated Companies - Rural Development											
2. Investment in Affiliated Companies - Nonrural Development											

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USDA-RUS OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS	BORROWER DESIGNATION IN0503 PERIOD ENDING December, 2013
PART H. CURRENT DEPRECIATION RATES	
Are corporation's depreciation rates approved by the regulatory authority with jurisdiction over the provision of telephone services? (Check one) <input checked="checked" type="checkbox"/> YES <input type="checkbox"/> NO 	
EQUIPMENT CATEGORY	DEPRECIATION RATE
1. Land and support assets - Motor Vehicles	
2. Land and support assets - Aircraft	
3. Land and support assets - Special purpose vehicles	
4. Land and support assets - Garage and other work equipment	
5. Land and support assets - Buildings	
6. Land and support assets - Furniture and Office equipment	
7. Land and support assets - General purpose computers	
8. Central Office Switching - Digital	
9. Central Office Switching - Analog & Electro-mechanical	
10. Central Office Switching - Operator Systems	
11. Central Office Transmission - Radio Systems	
12. Central Office Transmission - Circuit equipment	
13. Information origination/termination - Station apparatus	
14. Information origination/termination - Customer premises wiring	
15. Information origination/termination - Large private branch exchanges	
16. Information origination/termination - Public telephone terminal equipment	
17. Information origination/termination - Other terminal equipment	
18. Cable and wire facilities - Poles	
19. Cable and wire facilities - Aerial cable - Metal	
20. Cable and wire facilities - Aerial cable - Fiber	
21. Cable and wire facilities - Underground cable - Metal	
22. Cable and wire facilities - Underground cable - Fiber	
23. Cable and wire facilities - Buried cable - Metal	
24. Cable and wire facilities - Buried cable - Fiber	
25. Cable and wire facilities - Conduit systems	
26. Cable and wire facilities - Other	

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USDA-RUS OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS		BORROWER DESIGNATION IN0503
INSTRUCTIONS -- See help in the online application.		PERIOD ENDED December, 2013
PART I -- STATEMENT OF CASH FLOWS		
1. Beginning Cash (Cash and Equivalents plus RUS Construction Fund)		
CASH FLOWS FROM OPERATING ACTIVITIES		
2. Net Income		
<i>Adjustments to Reconcile Net Income to Net Cash Provided by Operating Activities</i>		
3. Add: Depreciation		
4. Add: Amortization		
5. Other (Explain) Adjust to Conform with Audit		
<i>Changes in Operating Assets and Liabilities</i>		
6. Decrease/(Increase) in Accounts Receivable		
7. Decrease/(Increase) in Materials and Inventory		
8. Decrease/(Increase) in Prepayments and Deferred Charges		
9. Decrease/(Increase) in Other Current Assets		
10. Increase/(Decrease) in Accounts Payable		
11. Increase/(Decrease) in Advance Billings & Payments		
12. Increase/(Decrease) in Other Current Liabilities		
13. Net Cash Provided/(Used) by Operations		
CASH FLOWS FROM FINANCING ACTIVITIES		
14. Decrease/(Increase) in Notes Receivable		
15. Increase/(Decrease) in Notes Payable		
16. Increase/(Decrease) in Customer Deposits		
17. Net Increase/(Decrease) in Long Term Debt (Including Current Maturities)		
18. Increase/(Decrease) in Other Liabilities & Deferred Credits		
19. Increase/(Decrease) in Capital Stock, Paid-in Capital, Membership and Capital Certificates & Other Capital		
20. Less: Payment of Dividends		
21. Less: Patronage Capital Credits Retired		
22. Other (Explain) Adjust to Conform with Audit		
23. Net Cash Provided/(Used) by Financing Activities		
CASH FLOWS FROM INVESTING ACTIVITIES		
24. Net Capital Expenditures (Property, Plant & Equipment)		
25. Other Long-Term Investments		
26. Other Noncurrent Assets & Jurisdictional Differences		
27. Other (Explain) Adjust to Conform with Audit		
28. Net Cash Provided/(Used) by Investing Activities		
29. Net Increase/(Decrease) in Cash		
30. Ending Cash		

Revision Date 2010

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USDA-RUS OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS	BORROWER DESIGNATION IN0503
INSTRUCTIONS - See RUS Bulletin 1744-2	PERIOD ENDED December, 2013
NOTES TO THE OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS	

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USDA-RUS OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS	BORROWER DESIGNATION IN0503
INSTRUCTIONS - See RUS Bulletin 1744-2	PERIOD ENDED December, 2013
CERTIFICATION LOAN DEFAULT NOTES TO THE OPERATING REPORT FOR TELECOMMUNICATIONS BORROWERS	